

ACTION PLAN ON ANTI-CORRUPTION MEASURES  
COAL INDIA LIMITED, MINISTRY OF COAL

:

PART -1

**Data for CIL(HQ), Vigilance Division only**

S.No	Subject	Action Required					Remarks
			Ist. Qtr.	2 <sup>nd</sup> Qtr.	3 <sup>rd</sup> Qtr	4 <sup>th</sup> Qtr.	
1	2	3	4	5	6	7	8
<b>A. PREVENTIVE</b>							
(i) Studies for streamlining the existing rules/procedures etc.	Identification of at least two areas of systems improvement such as streamlining rules/procedures or staffing pattern	Recruitment, promotion & Transfer	Procurement of HEMM & Spares	Installation of weighbridge/CCTV/GPS/RFID	OB & Coal Transport contracts		
(ii) Strengthening of vigilance Machinery	Filling up all the vacant posts of CVOs	5 Vacant posts to be filled up.	No vacant post	No vacant post	No vacant post		
(iii) Annual Review of Property returns filed by Group 'A' & Group 'B' Service officers	Review to be completed	150	100	100	100		

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1	2	3	4	5	6	7	8
<b>B. SURVEILLANCE AND DETECTION</b>							
i) Agreed List	Preparation of Agreed List.	To be prepared during the quarter.	--	--	--		
ii) No. of cases pending investigation with the CVOs and pending action after investigation	All cases to be disposed within 3 months	CASES 1 <sup>st</sup> .quarter	CASES 2 <sup>nd</sup> . Quarter	CASES 3 <sup>rd</sup> . Quarter	CASES 4 <sup>th</sup> . Quarter		
	Pending Investigation						
	Opening balance	05					
	Add: Fresh receipt						
	Less :Disposal	05					
	Balance at the end of the qtr.	NIL					
	Post Investigation action	100%	100%	100%	100%		
	Opening balance						
	Add: Fresh receipt						
	Less: Disposal						
Balance at the end of the qtr.							

C. <u>DETERENT PUNITIVE ACTION</u>						
(i) Cases pending decision for more than 6 months after CVCs first stage advice.	All such cases pending for more than 6 months to be disposed of in each quarter. .	100%	100%	100%	100%.	
ii) Appointment of CDIs nominated by CVC	All such cases pending for more than 6 months to be disposed of in each quarter. .	100%	100%	100%	100%.	

(PART-I contd.)

S.No.	Subject	Action Required	Ist. Qtr.	2 <sup>nd</sup> Qtr.	3 <sup>rd</sup> Qtr	4 <sup>th</sup> Qtr.	Remarks
1	2	3	4	5	6	7	8
iii) <u>Oral Inquiries against G.Os.</u>	25% to be disposed off.	No. of Cases	No. of Cases	No. of Cases	No. of Cases		
Opening Balance		03					
Add Fresh Receipts							
Less Disposal							
Balance at the end of the Quarter		NIL					
(iv) <u>Oral Inquiries against NGOs.</u>	25% to be disposed off.	Included above					
Opening Balance							
Add Fresh Receipts							
Less Disposal							
Balance at the end of the Quarter							
(v) Cases pending for more than 6 months after CVCs second stage advice.	All cases to be disposed.	1					
(vi) CBI cases pending for want of sanction for prosecution	All cases to be disposed.	NIL					
(vii) Pre-mature retirement of Government servants under FR 56(j)		Not applicable	Not applicable	Not applicable	Not applicable		

S.No.	Subject	Action Required	Ist. Qtr.	2 <sup>nd</sup> Qtr.	3 <sup>rd</sup> Qtr	4 <sup>th</sup> Qtr.	Remarks
1	2	3	4	5	6	7	8
D. <u>MONITORING OF VIGILANCE WORK</u>							

i) Monthly review of pending investigations, inquiries and other vigilance matter to be done by the CVOs.		3	3	3	3	
ii) Quarterly review by Head of Deptt/Secretary concerned		1	1	1	1	
iii) Quarterly report to be sent to Deptt. Of Personnel and Training.		Report to MOC 1	1	1	1	

**PART-II**

**PREVENTIVE**

1.	Simplification of Rules & Procedures	Whether study completed	Details of implementation	Remarks
	1. Amendment of CDA Rules 2. Changes in Purchase/Contract Management / Civil Engg Manual 3. Finalisation of Works Manual			

	ii) No. of inspections conducted during this quarter.	Number	Cases detected	Action taken	Remarks					
	a) Regular and Surprise inspections	03 in each quarter.								
	iii) Annual review of property returns filed by Group 'A' and Group 'B' service officers	150(approx.) in each quarter.								
	iv) Whether a plan for rotation of staff in sensitive areas has been drawn up.			Rotation of all executives holding same sensitive positions will be rotated in first qt. Executives of ODI/AL will be shifted to non-sensitive posts in first qt.						
	a) No. of staff working on same post/in the same division for more than 3 years (at the beginning of the quarter).									
	b) No. of staff transferred during the Quarter out of Col.(a) in pursuance of plan drawn up for rotation of staff.			Transfer of all executives working in sensitive posts more than 5 years done during 13-14.						
	c) Reasons for non-implementation of plan for rotation of staff.									
2.	Strengthening of Vigilance Machinery									
		CIL Hq.	ECL	BCCL	CCL	WCL	SECL	NCL	CMPDIL	MCL
	i) No. of vacant posts of CVOs	-	-	-	-	-	-	-	-	-
	ii) No. filled up	-	-	-	-	-	-	-	-	-
	iii) Details of vacant posts as on 1.4.14	5 proposed to be filled through deputation from other PSU/Central/State Govt.								

## SURVEILLANCE AND DETECTION

(i)	(a) No. of names included in the agreed list to be included in the return for the first quarter (In case of the Agreed list is not finalised in the first quarter, the number should be indicated in the quarter in which it is finalised.	CIL(HQ)	ECL	BCCL	CCL	WCL	SECL	NCL	CMPDI	MCL		
		3										
		Over 1 year		Between 6 to 12 months		Between 3 to 6 months		Between 1 to 3 months		Total cases		
(ii)	Cases pending investigation with CVOs	01		01		01		02		05		
(a)	No. pending at the beginning of the quarter											
(b)	No. in which investigation has been completed											
(c)	No. of cases added during the quarter											
(d)	No. carried forward											
(iii)	Cases pending for communication of comments of department of CBI's investigation report of CVC	-		-		-		-		-		
(a)	No. pending at the beginning of the quarter											
(b)	No. in which comments have been sent in the Qtr.											
(c)	No. of cases added during the quarter											
(d)	No. carried forward											

(PART- III contd.)

	Cases	Govt. Servants		Employees of Public Sector Undertaking	
		Gazetted	Non-Gazetted	Executives	Wage Board Employees
		1	2	3	4
(iv)	<u>Cases under investigation</u>				
(a)	Departmentally				
	Less than 3 months	02			
	3 - 6 months	01			
	More than 6 months	02			
	Total	05			
(b)	By the CBI				

	Less than 3 months				
	3--6 months				
	More than 6 months				
	Total				
TOTAL (DEPT. & CBI)					
Action on investigation reports					
	Less than 3 months				
	More than 3 months				

**PART IV**

DETERENT PUNITIVE ACTION		OVER ONE YEAR	BETWEEN 6 TO 12 MONTHS	BETWEEN 3 TO 6 MONTHS	BETWEEN 1 TO 3 MONTHS	Total
(i)	<b>Cases pending decision for more than 6 months after CVC's first stage advice</b>	00	00	00	00	
	(a) No. pending in the beginning of the quarter					
	(b) No. decided during the quarter					
	(c) No. of cases added during the quarter					
	(d) No. carried forward					
(ii)	<b>Cases in which appointment of Commissioner, Departmental Inquiries nominated by CVC are pending for more than 3 months</b>	NIL	NIL	NIL	NIL	
	(a) No. of pending at the beginning of the quarter					
	(b) No. in which CDI has been appointed					
	(c) No. of cases decided during the quarter					
	(d) No. of cases carried forward					
(iii)	<b>Oral Inquiries against Gazetted Officers</b>	03cases (GOs +NGOs)	03 cases (GOs +NGOs)	03 cases (GOs +NGOs)	03 cases (GOs +NGOs)	
	(a) No. of pending at the beginning of the quarter					
	(b) No. disposed off					
	(c) No. of cases added during the quarter					
	(d) No. of cases carried forward					
(iv)	<b>Oral Inquiries against Non-Gazetted Officers</b>	Included in iii) above				
	(a) No. of pending at the beginning of the quarter					
	(b) No. disposed off					
	(c) No. of cases added during the quarter					
	(d) No. of cases carried forward					
(v)	<b>Cases pending decision for more than 6 months after CVC's second stage advice</b>	02	00	00	00	
	(a) No. pending in the beginning of the quarter					
	(b) No. decided during the quarter					
	(c) No. of cases added during the quarter					
	(d) No. carried forward					
DETERENT PUNITIVE ACTION						
(vi)	<b>Cases pending for want of sanction for prosecution in CBI cases</b>	NIL	NIL	NIL	NIL	
	(a) No. pending in the beginning of the quarter					
	(b) No. decided during the quarter					

	(c)	No. of cases added during the quarter					
	(d)	No. carried forward					
vii		<b>Review under FR 56(1)</b>	Not applicable	Not applicable	Not applicable	Not applicable	Not applicable
	(a)	No. of officers due for review					
	(b)	No. reviewed					
	(c)	No. yet to be reviewed with reasons for delay					
viii		Please indicate whether the return has been reviewed at the Secretary's level					

**Part V**

			Government servants		Employees of Public Sector Undertakings	
			Gazetted	Non-Gazetted	Executives including Board Level	Wage Board Employees
			1	2	3	4
I	SUSPENSION					
	(a)	Under suspension: Cases				
	(b)	Under suspension for less than 6 months	00			
	(c)	No. under suspension over 6 months	00			
	(d)	Whether over 3 months cases have been reviewed				
II	PENDING MINOR PENALTY PROCEEDINGS		Cases			
	(a)	Less than 6 months				
	(b)	Between 6 months 1 years	01			
	(c)	More than 1 year				
III	PENDING MAJOR PENALTY PROCEEDINGS		Cases			
	(a)	Less than 6 months				
	(b)	Between 6 months and 1 year	03			
	(c)	More than 1 year				
	(d)	No. pending with I.O. for more than 6 months				